

Toposym 2016

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S. Garcia-Ferreira

Ellis Semigroup

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Ultrafilters

p-limit points

p-iterate

Cardinality

Countable case

Questions

Dynamical systems on compact metric countable spaces

S. Garcia-Ferreira

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In our dynamical systems (X, f) , X will be a compact metric space and $f : X \rightarrow X$ a continuous function.

For $n \in \mathbb{N}$, f^n denotes the n -iterate of a continuous function $f : X \rightarrow X$.

Given a dynamical system (X, f) , the *Ellis semigroup*, denoted by $E(X, f)$, is the pointwise closure of $\{f^n : n \in \mathbb{N}\}$ in the compact space X^X with composition of functions as its algebraic operation.

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Old Problem

Given a compact metric space X , when are the functions of

$$E(X, f) \setminus \{f^n : n \in \mathbb{N}\} = E(X, f)^*$$

either all continuous or all discontinuous?

The answer is yes when X is a convergent sequence with its limit point.

When $X = [0, 1]$, P. Szuca (2013) showed that if $f : [0, 1] \rightarrow [0, 1]$ is a continuous surjection and if $E([0, 1], f)^*$ contains a continuous function, then all functions of $E(X, f)^*$ are continuous.

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Theorem, 2015

Let (X, f) be a dynamical system such that X is a compact metric countable space and every accumulation point of X is periodic. Then either all function of $E(X, f)^*$ are continuous or all of them are discontinuous.

Theorem, 2015

Let (X, f) be a dynamical system such that X is a compact metric countable space. If X has finitely many accumulation points, then either all function of $E(X, f)^*$ are continuous or all of them are discontinuous.

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Example, 2015

There is a dynamical system (X, f) where X is a compact metric countable space such that the orbit of each accumulation point is finite and that there are $f_0, f_1 \in E(X, f)^*$ so that f_0 is continuous on X and f_1 is discontinuous on X .

The space X is the countable ordinal space $\omega^2 + 1$ which is identified with a suitable subspace of \mathbb{R} .

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In this talk we were interested on the cardinality of the Ellis semigroup $E(X, f)$. The work of A. Köhler (1995) and M. E. Glasner and Megrehisvili (2006) contain very interesting results about the cardinality of $E(X, f)$. Indeed, M. E. Glasner and Megrehisvili established the *Bourgain-Fremlin-Talagrand dichotomy for dynamical systems*: Either $|E(X, f)| \leq \mathfrak{c}$ or $E(X, f)$ contains a copy of $\beta\mathbb{N}$.

We will be mostly concerned with countable compact metrizable spaces. In this case, it is evident that $|E(X, f)| \leq \mathfrak{c}$. Moreover, since $E(X, f)$ is a separable metric space, then $E(X, f)$ is either countable or has cardinality \mathfrak{c} .

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$\beta(\mathbb{N})$ will denote the set of all ultrafilters on \mathbb{N} y $\mathbb{N}^* = \beta(\mathbb{N}) \setminus \mathbb{N}$ will be the set of all free ultrafilters on \mathbb{N} .

$\beta(\mathbb{N})$ is the Stone-Čech compactification of the natural numbers \mathbb{N} with the discrete topology.

If $A \subseteq \mathbb{N}$, then $\hat{A} = \{p \in \beta(\mathbb{N}) : A \in p\}$ is a basic open subset of $\beta(\mathbb{N})$ and $A^* = \hat{A} \setminus \mathbb{N}$ is a basic open subset of \mathbb{N}^* .

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Definition [Several mathematicians]

Let $p \in \mathbb{N}^*$. Let X a space and $(x_n)_{n \in \mathbb{N}}$ a sequence in X . We say that $x \in X$ is a p -limit of $(x_n)_{n \in \mathbb{N}}$ if for every neighborhood V of x we have that $\{n \in \mathbb{N} : x_n \in V\} \in p$.

We write $x = p - \lim_{n \rightarrow \infty} x_n$.

$x \in X$ is an accumulation point of $\{x_n : n \in \mathbb{N}\}$ iff there is $p \in \mathbb{N}^*$ such that $x = p - \lim_{n \rightarrow \infty} x_n$.

In a compact space, every sequence has a p -limit point for every $p \in \mathbb{N}^*$.

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Definition [Several mathematicians]

Let $p \in \mathbb{N}^*$. Let X a space and $(x_n)_{n \in \mathbb{N}}$ a sequence in X . We say that $x \in X$ is a p -limit of $(x_n)_{n \in \mathbb{N}}$ if for every neighborhood V of x we have that $\{n \in \mathbb{N} : x_n \in V\} \in p$.

We write $x = p - \lim_{n \rightarrow \infty} x_n$.

$x \in X$ is an accumulation point of $\{x_n : n \in \mathbb{N}\}$ iff there is $p \in \mathbb{N}^*$ such that $x = p - \lim_{n \rightarrow \infty} x_n$.

In a compact space, every sequence has a p -limit point for every $p \in \mathbb{N}^*$.

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Definition

Let (X, f) a dynamical system. For each $p \in \mathbb{N}^*$, we define $f^p : X \rightarrow X$ as $f^p(x) = p - \lim_{n \rightarrow \infty} f^n(x)$ for all $x \in X$.

f^p is called the *p-iterate* of f , for $p \in \mathbb{N}^*$.

Unfortunately, f^p is not in general continuous.

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Example

Let $X = [0, 1]$ and let $f : [0, 1] \rightarrow [0, 1]$ any continuous function such that $f(0) = 0$, $f(1) = 1$ and $f(t) < 1$ for all $t \in (0, 1)$. Then, f is a continuous function such that $f^p[[0, 1)) = 0$ and $f^p(1) = 1$, for each $p \in \mathbb{N}^*$. Therefore, f^p is not continuous at 1, for any $p \in \mathbb{N}^*$.

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By using the p -iteration, for $p \in \beta(\mathbb{N})$, we can see that

$$E(X, f) = \{f^p : p \in \beta(\mathbb{N})\}$$

and

$$E(X, f)^* \subseteq \{f^p : p \in \mathbb{N}^*\}$$

for any dynamical system (X, f) .

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Definition

For $p \in \beta(\mathbb{N})$ and $n \in \mathbb{N}$, we define

$$p + n = p - \lim_{m \rightarrow \infty} (m + n)$$

Folklore

Now, if $p, q \in \beta(\mathbb{N})$, then we define

$$p + q = q - \lim_{n \rightarrow \infty} p + n.$$

We know that $\beta(\mathbb{N})$ and \mathbb{N}^* with this operation $+$ are a semigroups.

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Theorem, Folklore

If (X, f) is a dynamical system, then,

$$f^p \circ f^q = f^{q+p},$$

for every $p, q \in \beta(\mathbb{N})$.

Notice that if f^p is continuous for some $p \in \mathbb{N}^*$, then f^{p+n} is also continuous for all $n \in \mathbb{N}$.

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Theorem

Let (X, f) be a dynamical system. Then $E(X, f)$ is finite iff there exist $M > 0$ such that $|\mathcal{O}_f(x)| < M$ for each $x \in X$.

It is noteworthy that $E(X, f)^*$ could be finite and $E(X, f)$ could be infinite. For instance, if X is a convergent sequence with its limit point and f is the shift function, then $E(X, f)$ is infinite and $E(X, f)^*$ has only one point.

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For a dynamical system (X, f) , the ω -limit set of $x \in X$, denoted by $\omega_f(x)$, is the set of points $y \in X$ for which there exists an increasing sequence $(n_k)_{k \in \mathbb{N}}$ such that $f^{n_k}(x) \rightarrow y$.

Theorem

Let (X, f) be a dynamical system. $E(X, f)^*$ is finite iff there is $M \in \mathbb{N}$ such that $|\omega_f(x)| \leq M$ for each $x \in X$.

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For a dynamical system (X, f) , let P_f denote the set of all periods of the periodic points of (X, f) which are accumulation points.

Theorem

Let (X, f) be a dynamical system. If $E(X, f)^*$ is finite, then P_f is finite.

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Theorem

Let (X, f) be a dynamical system. If P_f is infinite, then $E(X, f)$ has at least size \mathfrak{c} .

Theorem

Let (X, f) be a dynamical system and assume that X has a point with dense orbit. If f^p is continuous for every $p \in \mathbb{N}^*$, then $|E(X, f)^*| \leq |X|$.

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Theorem, S. Mazurkiewicz and W. Sierpinski, 1920

Every compact metric countable space is homeomorphic to a countable ordinal with the order topology.

In what follows, our phase space will be the compact metric space $\omega^\alpha + 1$ where α is a countable ordinal.

For our convenience, X' will denote the set of limit points of X , d will stand for the unique point of $\omega^\alpha + 1$ of CB -rank α and $\{d_n : n \in \mathbb{N}\}$ will be the collection of all its points with CB -rank equal to $\alpha - 1$.

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Theorem, S. Mazurkiewicz and W. Sierpinski, 1920

Every compact metric countable space is homeomorphic to a countable ordinal with the order topology.

In what follows, our phase space will be the compact metric space $\omega^\alpha + 1$ where α is a countable ordinal.

For our convenience, X' will denote the set of limit points of X , d will stand for the unique point of $\omega^\alpha + 1$ of CB -rank α and $\{d_n : n \in \mathbb{N}\}$ will be the collection of all its points with CB -rank equal to $\alpha - 1$.

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Let $(\omega^\alpha + 1, f)$ be a dynamical system with $\alpha \geq 1$ a countable successor ordinal, such that there exists $w \in \omega^\alpha + 1$ with a dense orbit. Then the following conditions hold:

- (i) $f(y)$ is a limit point for every $y \in (\omega^\alpha + 1)'$.
- (ii) The range of f is $\omega^\alpha + 1 \setminus \{w\}$.
- (iii) If $x \in (\omega^\alpha + 1)'$, then $\emptyset \neq f^{-1}(x) \subseteq (\omega^\alpha + 1)'$.
- (iv) $1 \leq CB(f(d))$.

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Theorem

Let $(\omega^2 + 1, f)$ be a dynamical system such that there exists $w \in \omega^2 + 1$ with a dense orbit. Then f^p is continuous, for every $p \in \mathbb{N}^*$, and $E^*(\omega^2 + 1, f)$ is countable.

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Theorem

There is a continuous function $f : \omega^3 + 1 \rightarrow \omega^3 + 1$ such that

- there is a point of $\omega^3 + 1$ with a dense orbit, and
- f^p is discontinuous for every $p \in \mathbb{N}^*$.

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Example

There is a continuous function $f : \omega^2 + 1 \rightarrow \omega^2 + 1$ such that $E(\omega^2 + 1, f)$ is homeomorphic to the space $\omega^2 + 1$.

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Question

Is there a dynamical system (X, f) such that X is connected and there are two functions $f_0, f_1 \in E^*(X, f)$ such that f_0 is continuous and f_1 is discontinuous?

Given a dynamical system $(\omega^\alpha + 1, f)$ with dense orbit, where $\alpha > 3$, is $E(\omega^\alpha + 1, f)$ always countable?

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Given an arbitrary compact metric countable space X , is there a continuous function $f : X \rightarrow X$ such that $E(X, f)$ is homeomorphic to X ?

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